



DEVELOPING YOUR SECURITY MANAGEMENT SYSTEM FOR THE SUPPLY CHAIN ISO 28000:2005 AND C-TPAT PROCESSES (BEYOND ISO 9001:2008)

Clause	C-TPAT	Additional Key Process (or Control in an existing process)	Process Owner
4.1	No	Defining the scope of supply chain security management system (SCSMS)	
4.3.3	5	Establishing, controls to ensure continual improvement of SCSMS	
4.2	1	Leading implementation of supply chain security management policy	
4.3	8	Identifying and managing risks to the security of the supply chain	
4.3.1	8	Assessing security hazards and the management of security risks	
4.3.1e	11 & 14	Securing the supply chain via business partners	
4.4.3	8	Communicating security information (on need to know basis)	
4.4.6	17 & 23	Securing containers, trailers and their conveyance	
4.4.6	27	Controlling physical access to all facilities forming a part of the supply chain	
4.4.6e	31	Including security threats and risks in personnel recruiting and terminations	
4.4.6c	33	Including security threats and risks in process change control	
4.4.3	41	Making people, contractors and stakeholders aware of security threats	
4.4.2	39	Training for security competence	
4.3.1	42	Ensuring physical security of the supply chain	
4.4.7	6	Preparing for emergencies and recovering from disasters	
4.4.6	11	Considering membership of applicable other country security programs	
4.5.1	1	Ensuring cost effectiveness in supply chain security management	
4.4.4	4	Securing critical information including manifest procedures	
4.4.6	8	Planning for enhanced supply chain security	
4.5.5	10	Auditing based on the supply chain security threat and risk assessments	
4.6.e	4	Analyzing data for <i>corrective action</i>	
4.6	5	Analyzing the results of supply chain security verification activities	

PLEASE NOTE:

1. C-TPAT is more prescriptive and applicable to importers in the USA.
2. C-TPAT references are to page numbers from Supply Chain Security Best Practices Catalog (US CBP)
3. Some of these processes may already be in place and effective as part of existing system; if so identify the implemented process as "EFFECTIVE" in the Process Owner column. Additional processes listed here may be addressed as new controls of existing processes.

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